

2837



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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/687,464
		Filing Date	October 13, 2000
		First Named Inventor	FUJISAWA, Takafumi
		Group Art Unit	2837
		Examiner Name	Fletcher, Marlon T.
Total Number of Pages in This Submission	5	Attorney Docket Number	72760 [00P290PS-US00]

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> - Form PTO-1449 - copies of six (6) references - Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<input type="text" value="Remarks"/> <p style="text-align: center;">- Supplemental Information Disclosure Statement</p>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY
Signature	
Date	8/29/03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

August 29, 2003

Typed or printed name	Pamela M. Stone		
Signature		Date	August 29, 2003

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Attorney Docket No. 72760 [00P290PS-US00]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Fujisawa et al.

Application No.: 09/687,464

Filed: October 13, 2000

For: ENTERTAINMENT SYSTEM,
ENTERTAINMENT APPARATUS,
RECORDING MEDIUM,
AND PROGRAM

Group Art Unit: 2837

Examiner: Fletcher, Marlon T.

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August 29, 2003
Date

Pamela M. Stone
Pamela M. Stone

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.

Under 37 CFR §1.97(c) together with either:

a statement under 37 CFR §1.97(e), or

a fee under 37 CFR §1.17(p)

(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

Statement under 37 C.F.R. § 1.97(e)(1): The undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Information Disclosure Statement
U.S. Appln. No. 09/687,464

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with six (6) references listed as items AA-AF.

Copies of the items listed on the attached Form PTO-1449 are enclosed.

Concise Explanation of Relevance

A concise explanation of the relevance of items AE and AF is in the form of a copy of a "European Search Report" dated June 20, 2003, that was mailed from a foreign patent office and issued in a counterpart foreign application, which refers to the references. The "European Search Report" dated June 20, 2003 is listed as item AC and a copy is included herewith.

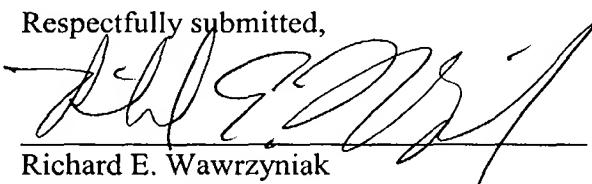
A concise explanation of the relevance of items AA and AB is in the form of a copy of a "European Search Report" dated May 21, 2003, that was mailed from a foreign patent office and issued in a counterpart foreign application for related U.S. application serial no. 09/687,497, filed October 13, 2000, which refers to the references. The "European Search Report" dated May 21, 2003 is listed as item AD and a copy is included herewith.

It is requested that the information provided herein be made of record in this application.

Dated:

8/29/03

Respectfully submitted,


Richard E. Wawrzyniak
Reg. No. 36,048

Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY
Richard E. Wawrzyniak
120 So. LaSalle Street
Suite 1600
Chicago, IL 60603
Telephone: (858) 552-1311
Facsimile: (858) 552-0095

**SUPPLEMENTAL
INFORMATION DISCLOSURE
CITATION IN AN APPLICATION**

SEP 02 2003

Sheet 1 of 2

Application No.	09/687,464
Filing Date	October 13, 2000
First Named Inventor	Fujisawa, Takafumi
Group Art Unit	2837
Examiner Name	Fletcher, Marlon T.
Attorney Docket No.	72760 [00P290PS-US00]

U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO
AA			EP	0 778 536	A2	06/11/1997			X	

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**SUPPLEMENTAL
INFORMATION ON DISCLOSURE
CITATION IN AN APPLICATION**

SEP 02 2003

SINCE 1836

Application No.	09/687,464
Filing Date	October 13, 2000
First Named Inventor	Fujisawa, Takafumi
Group Art Unit	2837
Examiner Name	Fletcher, Marlon T.
Attorney Docket No.	72760 [00P290PS-US00]

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AB		“Techtv, Windows 98/ME Control Panel Features” [online]; Retrieved from the internet on <URL: http://www.techtv.com/callforhelp/howto/jump/0_24331_3413232_00.html >; XP-002237407; pp. 1-3; Retrieved from the internet on July 4, 2003.
	AC		EUROPEAN PATENT OFFICE; “European Search Report”; cited in corresponding European Patent Application No. EP 00 30 9033; dated June 20, 2003; pp. 1-2.
	AD		EUROPEAN PATENT OFFICE; “European Search Report”; cited in European Patent Application No. EP 00 30 9037 corresponding to related U.S. Application No. 09/687,497; dated May 21, 2003; pp. 1-3.
	AE		“IQS SAW, 4-Spur-Harddisk-Recording fur Windows-PC”; Keyboards, Music Media Verlag; Augsburg; April 1994; pp. 148-152; XP-002240866; (full 10-page English translation also included).
	AF		A. MERCK; “Steinberg Cubase Audio Sequenzer & Harddisk-Recording fur Apple Macintosh”; Keyboard, Music Media Verlag; Augsburg; January 1993; pp. 112-116; XP-002240715; (full 10-page English translation also included).

Examiner Signature		Date Considered	
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.